

MEMORANDUM

TO: File No. S7-45-10

FROM: Yue Ding

DATE: April 11, 2011

RE: Meeting with Representatives from the American Council of Engineering Companies, Parsons Brinckerhoff, Jacobs Engineering Group, Leigh Fisher and CH2M Hill

On April 11, 2011, Martha Haines, Victoria Crane, Mary Simpkins, Dave Sanchez, John McWilliams, Ira Brandriss, Daniel Gien, and Yue Ding from the Division of Trading and Markets met with representatives from the American Council of Engineering Companies, Parsons Brinckerhoff, Jacobs Engineering Group, Leigh Fisher and CH2M Hill. The American Council of Engineering Companies representative was Katharine Mottley. The Parsons Brinckerhoff representatives were Lisa Palumbo, David MacIntyre, and Shoshana Rosenberg. The Jacobs Engineering Group representative was Pamela Bailey-Campbell. The Leigh Fisher representative was Spencer Ballard. The CH2M Hill representative was Christine Grawmeyer.

The representatives sought to discuss the Commission's proposed rule on the registration of municipal advisors and, particularly, the exception to registration for engineers.