

MEMORANDUM

To: File

From: Ty Gellasch, Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: September 18, 2013

Re: Meeting with Representatives from Global Witness

On September 16, 2013, Ty Gellasch met with Simon Taylor, Corinna Gilfillan, and Carly Oboth from Global Witness. The parties discussed the Commission's potential reconsideration of a rule to implement Section 1504 of the Dodd Frank Wall Street Reform and Consumer Protection Act.