

MEMORANDUM

November 18, 2013

To: File No. S7-41-11

From: Elad Roisman
Office of Commissioner Daniel M. Gallagher

Re: Comments on Proposed Rule: Prohibitions and Restrictions on Proprietary Trading and Certain Interests in, and Relationships With, Hedge Funds and Private Equity Funds

On November 7, 2013, Elad Roisman, Counsel to Commissioner Gallagher and Joseph Levinson, Counsel to Commissioner Gallagher, met with the following representatives of Goldman Sachs, Elisha Wiesel, David Rusoff, Ben Adler, and Michael Paese.

Among the matters discussed was the Commission's December 23, 2011 joint proposed rule regarding prohibitions and restrictions on proprietary trading and certain interests in, and relationships with, hedge funds and private equity funds.