

MEMORANDUM

TO: File
FROM: Division of Trading and Markets
RE: Meeting with representatives of HSBC
Date: October 23, 2013

On October 23, 2013, staff from the Division of Trading and Markets, the Division of Investment Management, and the Office of International Affairs met with the following representatives of HSBC: Mark Steffensen, Thomas Rosenkoetter, and Chris Dickens.

During the meeting, HSBC representatives discussed, among other topics, the proposed implementation of the Volcker Rule.