

MEMORANDUM

TO: File
FROM: Division of Trading and Markets
RE: Meeting with representatives of HSBC
Date: October 1, 2013

On October 1, 2013, staff from the Division of Trading and Markets met with the following representatives of HSBC: Patrick Nolan, Mark Steffensen, Christine Calarco, and Tom Rosenkoetter.

During the meeting, HSBC representatives discussed, among other topics, the proposed implementation of the Volcker Rule.