

## MEMORANDUM

**To:** File No. S7-41-11

**From:** Jaime Klima, Counsel to Commissioner Michael S. Piwowar

**Re:** Meeting with Representatives of the Institute of International Bankers (“IIB”)

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On November 1, 2013, Commissioner Michael S. Piwowar, and Jaime Klima and Richard Grant, Counsels to the Commissioner, met with the following individuals:

- Sally Miller, CEO of IIB
- Roger Blissett, Chairman, IIB’s Board of Trustees and VP & Branch Manager, Royal Bank of Canada
- Richard Coffman, GC of IIB

Among the topics discussed was the proposal on Prohibitions and Restrictions on Proprietary Trading and Certain Interests in, and Relationships with, Hedge Funds and Private Equity Funds (the so-called Volcker Rule).