

MEMORANDUM

TO: File  
FROM: Division of Trading and Markets  
RE: Meeting with representatives of Barclays  
Date: April 8, 2013

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On April 8, 2013, staff from the Division of Trading and Markets, Division of Corporation Finance, Division of Risk, Strategy and Financial Innovation, and Office of Compliance Inspections and Examinations met with the following representatives of Barclays: Merritt Thomas, Chris Evans, and Allison Parent. An additional Barclays representative, Emma Bailey, participated by phone.

The purpose of the meeting was to discuss implementation of the Volcker Rule. The discussion primarily focused on the exemption for proprietary trading solely outside of the United States.