

MEMORANDUM

TO: File  
FROM: Division of Trading and Markets  
RE: Meeting with representatives of the Bond Dealers of America  
Date: February 12, 2013

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On February 12, 2013, staff from the Division of Trading and Markets, Division of Corporation Finance, Division of Risk, Strategy and Financial Innovation, and Office of Compliance Inspections and Examinations met with the following individuals from the Bond Dealers of America: Susan Collet, John Crawford, Dan Deaton, Rusty Fifield, Michael Marz, Rick Roberts, and Brad Wings.

The purpose of the meeting was to discuss the proposed implementation of the Volcker Rule and the Bond Dealers of America's October 22, 2012 comment letter on the proposal.