

MEMORANDUM

TO: File
FROM: Division of Trading and Markets
RE: Meeting with representatives of Credit Suisse
DATE: May 23, 2012

On May 23, 2012, staff from the Division of Trading and Markets, Division of Investment Management, Division of Corporation Finance, and Division of Risk, Strategy and Financial Innovation held a conference call with the following representatives of Credit Suisse, Michael Williams, Public Policy, Roger Machlis, General Counsel – Asset Management, Rory Keane, COO – Fixed Income, Andrew Stevens, COO – Equities, Lawrence Young, General Counsel – Fixed Income, Maura Miller, General Counsel – Equities, Jeff Jaenick – Fund-Linked Products, and David Jones – Risk.

The purpose of the meeting was to discuss the proposed implementation of the Volcker Rule. The discussion primarily focused on Credit Suisse's comment letters related to the proposed rule.