

MEMORANDUM

TO: File No. S7-40-10

FROM: Smeeta Ramarathnam and Marc Leaf
Office of Commissioner Luis A. Aguilar

DATE: September 12, 2011

SUBJECT: Meeting with International Corporate Accountability Roundtable (“ICAR”), U.S. Office for Global Witness, The Enough Project, and Free the Slaves (collectively, the “Attendees”)

On September 12, 2011, Commissioner Aguilar, Smeeta Ramarathnam, Chief of Staff, and Marc Leaf, Counsel to the Commissioner, met with:

- Amol Merha, Esq., Coordinator, ICAR;
- Corinna Gilfillan, Head of the U.S. Office for Global Witness;
- Darren Fenwick, Senior Manager of Government Affairs, The Enough Project;
- Fidel Bafilemba, Congo Field Research (based in Goma), The Enough Project;
- Karen Stauss, Director of Programs, Free the Slaves; and
- Juliette Rousselot, International Advocacy Assistant, Amnesty International USA.

At the meeting, the Attendees presented their views and responded to questions regarding the impact of §1502 of the Dodd-Frank Act (the “Conflict Minerals” provision) and the proposed SEC regulations mandated thereby on economic, trade, and human rights conditions in the Congo.