

MEMORANDUM

To: File

From: Andrew J. Green
Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: December 5, 2014

Re: Meeting with DTCC

On Wednesday, December 3, 2014, I met here at the Commission headquarters with Larry Thompson, Ali Wolpert, and Brian Werstler from the DTCC.

Among the topics discussed were the Commission's Proposed Rules on Security-Based Swap Data Repository Registration, Duties, and Core Principles (S7-35-10) under Title VII of the Dodd-Frank Act.