

## MEMORANDUM

TO: File No. S7-34-10 and File No. S7-35-10

FROM: George M. Gilbert

RE: Summary of meeting with DTCC regarding trade reporting issues in SBSR Rule Proposal and anti-competitive issues in Security-Based Swap Data Repository Rule Proposal

DATE: January 14, 2014

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On January 9, 2014, representatives from the Securities and Exchange Commission's Division of Trading and Markets (Peter Curley, Heather Seidel, Jo Anne Swindler, Michael Gaw, Jeffrey Mooney, Tom Eady, Richard Vorosmarti, Sarah Albertson, Natasha Cowen, Elizabeth Fitzgerald, Yvonne Fraticelli, Kathleen Gross, Abraham Jacob, Angie Le, David Michehl, Stephanie Park, Andrew Shanbrom, and George Gilbert) had a meeting with Marisol Collazo, Paul Gottlieb, Jeff Waddle, and Brian Werstler, of DTCC.

Among the topics discussed were data reporting and dissemination issues arising in connection with proposed Regulation SBSR – Reporting and Dissemination of Security-Based Swap Information (File No. S7-34-10) and anti-competitive issues arising in connection with other proposed rules governing security-based swap data repositories (File No. S7-35-10).