

MEMORANDUM

TO: File No. S7-35-10

FROM: Miles Treakle

RE: Summary of Meeting with Trade Information Warehouse regarding Security-Based Swap Data Repository Registration, Duties, and Core Principles Rule Proposal

DATE: October 24, 2011

On September 20, 2011, Brian Bussey, Tom Eady, Michael Gaw, Adam Glass, Doyle Horn, Pamela Kesner, Angie Le, David Michehl, Catherine Moore, John Ramsey, Heather Seidel, Jo Anne Swindler, Miles Treakle, and Richard Vorosmarti from the Securities and Exchange Commission met with Peter Axilrod, Dan Cohen, Marisol Collazo, Stewart Macbeth, and Jeff Waddle from the Depository Trust & Clearing Corporation (the “DTCC participants”).

The DTCC participants discussed the functions of security-based swap data repositories (“SDRs”), ancillary services, and SDR registration. The DTCC participants also discussed the tying or bundling of regulated services

[No agenda available for this meeting.]