

## MEMORANDUM

TO: File Nos. S7-34-10, S7-35-10, S7-44-10, S7-06-11, 4-625  
File for Public Comments on SEC Regulatory Initiatives Under the  
Dodd-Frank Act

FROM: Michael E. Coe  
Office of Commissioner Luis A. Aguilar

DATE: June 23, 2011

SUBJECT: Meeting with Representatives of Goldman Sachs

On June 23, 2011, Commissioner Aguilar, along with Zachary May and Michael E. Coe, Counsels to the Commissioner, met with Goldman Sachs representatives R. Martin Chavez, Managing Director, and Kenneth M. Connolly, Vice President, Government Affairs.

The discussion included, among other things:

- The Commission's proposed rules regarding Registration and Regulation of Security-Based Swap Execution Facilities;
- The Commission's proposed rules regarding Process for Submissions for Review of Security-Based Swaps for Mandatory Clearing and Notice Filing Requirements for Clearing Agencies;
- The Commission's proposed rules regarding Security-Based Swap Data Repository Registration, Duties, and Core Principles;
- The Commission's proposed rules regarding Regulation SBSR - Reporting and Dissemination of Security-Based Swap Information;
- The provisions of Title VII of the Dodd-Frank Act regarding margin requirements for security-based swap dealers and major security-based swap participants; and
- The schedule for implementing final rules for security-based swaps under the Dodd-Frank Act.