

## MEMORANDUM

**To:** File No. S7-34-10  
**From:** Yvonne Fraticelli  
**Date:** June 16, 2011  
**Subject:** Meeting with DTCC and FINRA

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On June 15, 2011, representatives from the Securities and Exchange Commission (“SEC”) met with representatives from the Depository Trust & Clearing Corporation (“DTCC”) and the Financial Industry Regulatory Authority, Inc. (“FINRA”). The SEC representatives at the meeting were Michael Gaw, Dave Michehl, Brian Trackman, Kathleen Gray, Angie Le, Miles Treakle, Doyle Horn, Richard Vorosmarti, and Yvonne Fraticelli from the Division of Trading and Markets; Emre Carr, Adam Glass, Amy Edwards, Matthew Reed, and Cecilia Caglio from the Division of Rick, Strategy, and Financial Innovation; Samir Badalov from the Office of the Chief Operating Officer; and John Polise and Juanita Hamlett from the Office of Compliance, Inspections, and Examinations. The DTCC representatives at the meeting were Marisol Collazo, Robert Green, David Friedman, Stewart Macbeth, and Jeff Waddle. The FINRA representative at the meeting was Steve Joachim.

At the meeting, the DTCC representatives provided their views regarding product identifiers for security-based swaps and real-time reporting of security-based swaps.

[No agenda available for this meeting.]