

MEMORANDUM

TO: File No. S7-34-10;
File for Public Comments on SEC Regulatory Initiatives Under the Dodd-Frank Act

FROM: Jeffrey Dinwoodie

RE: Meeting with Barclays Representatives

DATE: January 14, 2011

On January 14, 2011, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from Barclays. The SEC representatives present at the meeting were James Brigagliano, John Ramsay, Brian Bussey, James Burns, Nancy Sanow, Tom Eady, David Michehl, Catherine Moore, Natasha Cowen, Kathleen Gray, Yue Ding, and Jeffrey Dinwoodie. Brian Trackman from the SEC participated telephonically. The Barclays representatives, all of whom were present at the meeting, were Jason Quinn, Walter Maloney, Allison Parent, Sonali Das Theisen, Keith Bailey, and Patrick Durkin. At the meeting, the Barclays representatives provided their views and observations on proposed Regulation SBSR – Reporting and Dissemination of Security-Based Swap Information (File No. S7-34-10) and related issues; swap execution facilities and security-based swap execution facilities; and issues relating to the clearing of swaps and security-based swaps. In addition, the Barclays representatives inquired about the SEC’s role with respect to the Volcker Rule.