

MEMORANDUM

August 15, 2023

TO: File Nos.: S7-29-22; S7-30-22; S7-31-22; and S7-32-22

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Citadel Securities LLC (“Citadel”)

On August 15, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with Citadel. Commissioner Uyeda and the attendees met in person. The Citadel representatives in attendance consisted of:

- Ryan VanGrack, Interim General Counsel, Citadel

Among other matters, the participants discussed Citadel’s comment letters filed in connection with the Disclosure of Order Execution Information; Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders; Order Competition Rule; and Regulation Best Execution.