

## MEMORANDUM

May 24, 2023

**TO:** File Nos.: S7-29-22, S7-30-22, S7-31-22 and S7-32-22

**FROM:** Robert Fisher  
Office of Commissioner Mark T. Uyeda

**RE:** Meeting with Representatives of Nasdaq

On May 24, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with Nasdaq. Commissioner Uyeda and the attendees met virtually. The Nasdaq representatives in attendance consisted of:

- John Zecca – EVP, General Counsel [Name, title]
- Kevin Kennedy – EVP, Head of North American Market Services
- Brett Kitt – AVP, Principal Associate General Counsel
- Jeffrey Davis – SVP, Principal Deputy General Counsel
- Chuck Mack – VP, Product Development

Among other matters, the participants discussed Nasdaq's comment letter filed in connection with: Regulation Best Execution; Order Competition Rule; Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders; and, Disclosure of Order Execution Information.