

----- Original Message -----

From: [REDACTED]

Sent: Sat Feb 26 16:03:48 2011

Subject: Proposed Rule 9j-1

Proposed Rule 9j-1 is promulgated under Dodd-Frank 763(g) amendment to Section 9 and not under Section 10(b). Thus, does Proposed Rule 9j-1 have extraterritorial effect pursuant to 929P? If not, should the SEC make it a 10b rule instead to bring it within 929P?

Does not most if not all of the conduct that violates Proposed Rule 9j-1 also violate Section 10(b)? If not, why not make it a 10b rule?

Richard