

MEMORANDUM

To: File Nos. S7-32-10, S7-32-22, S7-31-22, S7-23-22, S7-01-23
From: Ammon Simon, Counsel to Commissioner Hester M. Peirce
Re: European Banking Federation

On Thursday, March 2, 2023, Commissioner Hester Peirce and Ammon Simon and Richard Gabbert, Counsels to Commissioner Peirce, met with the following individuals from the European Banking Federation:

- Mr Arnaud de Tregomain, COO & Head of Business Development, Global Markets
- Mr Joerg Riegel, Director and Senior Counsel, Société Générale
- Mr Erik Soderberg, Head of Regulatory Affairs – Americas, Deutsche Bank
- Mr Sébastien de Brouwer, Chief Policy Officer, European Banking Federation
- Mr Jeffrey Scott Siegel, Director - Public Policy and Strategic Regulatory Analysis, BNP Paribas
- Ms Melissa Ruth, Director, Regulatory Affairs at Natixis CIB Americas
- Mr Blazej Blasikiewicz, Director of International and Legal Affairs, European Banking Federation
- Mr Lance Williams, Head of US Government Relations, Barclays
- Ms Michelle Kidd, Managing Director, Head of US Regulatory Policy & Government Relations, Barcalys
- Mr Simon Rooze, Director European and International Affairs, Dutch Banking Association
- Mr Thomas J. Rosenkoetter, Managing Director, Head of Government Affairs at BNP Paribas
- Mr Thilo Schweizer, Director, Head of European Affairs, Commerzbank AG
- Mr Angus Canvin, Director, International Affairs, UK Finance
- Mr Dan Constantin, Policy Adviser, Association of German Banks

The general topics discussed included the following Commission-proposed rules:

- Prohibition Against Fraud, Manipulation, or Deception in Connection with Security-Based Swaps; Prohibition against Undue Influence over Chief Compliance Officers; Position Reporting of Large Security-Based Swap Positions;
- Regulation Best Execution;
- Order Competition Rule;
- Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities Fund Advisers; and
- Prohibition Against Conflicts of Interest in Certain Securitizations