

To: File Nos.: S7-29-22, S7-30-22, S7-31-22, S7-32-22
From: Office of Public Engagement
Date: 10/16/23
Re: Meeting with New York Stock Exchange and Intercontinental Exchange

On October 6, 2023, Chair Gensler and SEC staff met with New York Stock Exchange (NYSE) and Intercontinental Exchange (ICE) to discuss the following proposals:

- Disclosure of Order Execution Information – S7-29-22
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders – S7-30-22
- Order Competition Rule – S7-31-22
- Regulation Best Execution – S7-32-22

Members of the SEC included:

- Chair Gary Gensler
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel

Representatives from NYSE and ICE included:

- Jeff Sprecher, CEO, ICE
- Lynn Martin, President, NYSE
- Hope Jarkowski, General Counsel, NYSE
- Jon Herrick, Chief Product Officer, NYSE
- Kevin Tyrrell, Head of Equities and Head of Research, NYSE
- Elizabeth King, President, Sustainable Finance, ICE