

To: File Nos.: S7-23-22, S7-29-22, S7-30-22, S7-31-22, S7-32-22
From: Office of Public Engagement
Date: 7/24/23
Re: Virtual Meeting with New York Stock Exchange

On July 20, 2023, SEC staff met with New York Stock Exchange (NYSE) to discuss, among other matters, the following proposals:

- Standards for Covered Clearing Agencies for U.S. Treasury Securities and Application of the Broker-Dealer Customer Protection Rule With Respect to U.S. Treasury Securities Fund Advisers
- Disclosure of Order Execution Information
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders
- Order Competition Rule
- Regulation Best Execution

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Economic Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel

Representatives from NYSE included:

- Jeff Sprecher, Chief Executive Officer, Intercontinental Exchange (ICE)
- Lynn Martin, President
- Hope Jarkowski, General Counsel
- Kevin Tyrell, Head of Equities and Research
- Jon Herrick, Head of Markets