

MEMORANDUM

June 16, 2023

TO: File Nos.: S7-05-15 and S7-31-22

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Chicago Trading Company (CTC)

On June 15, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with CTC. Commissioner Uyeda and the attendees met in-person. The CTC representatives in attendance consisted of:

- Eric Chern, Co-Founder, CTC
- Kevin Batteh, Partner, Delta Strategy Group
- Edmund Perry, Policy Analyst, Delta Strategy Group

Among other matters, the participants discussed CTC's comment letters filed in connection with the Order Competition Rule and the Exemption for Certain Exchange Members.