

**MEMORANDUM**

November 28, 2023

**TO:** File Nos.: S7-30-22; S7-31-22; and S7-32-22

**FROM:** Robert Fisher  
Office of Commissioner Mark T. Uyeda

**RE:** Meeting with Representatives of Goldman Sachs & Co. LLC (“Goldman”)

On November 28, 2023, Commissioner Mark T. Uyeda, his counsels Robert Fisher and Jaime Marinaro, and his policy advisor Taylor Asher had a meeting with Goldman. Commissioner Uyeda and the attendees met in-person. The Goldman representatives in attendance consisted of:

- Jameson Schriber, Head of Equities Trading
- Annette Kelton, Co-Head, Americas Equities Legal
- Diwa Cody, head of US Equity Market Structure
- Ryan Jachym, Government Relations

Among other matters, the participants discussed Goldman’s comment letter filed in connection with Regulation Best Execution; Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders; and the Order Competition Rule.