

MEMORANDUM

February 13, 2024

TO: File Nos.: S7-10-22; S7-29-22; S7-30-22; S7-31-22; S7-32-22; and S7-12-23

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Nasdaq

On February 13, 2024, Commissioner Mark T. Uyeda, along with his counsels Robert Fisher and Charles Lee and Policy Advisor Taylor Asher, had a meeting with representatives of Nasdaq. Commissioner Uyeda and the attendees met in person. The Nasdaq representatives in attendance consisted of:

- Adena Friedman, President and CEO
- John Zecca, Executive Vice President and Chief Legal, Risk and Regulatory Officer
- Jeffrey Davis, Senior Vice President and Principal Deputy General Counsel

Among other matters, the participants discussed the Nasdaq's comment letters filed in connection with: The Enhancement and Standardization of Climate-Related Disclosures for Investors; Regulation Best Execution; Order Competition Rule; Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Options; Disclosure of Order Execution Information; and Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.