

To: File Nos.: S7-25-20; S7-29-22; S7-30-22; S7-31-22; S7-32-22
From: Office of Public Engagement
Date: 1/29/24
Re: Meeting with Robinhood

On January 29, 2024, Chair Gensler and SEC staff met with Robinhood to discuss the following matters:

- Custody of Digital Asset Securities by Special Purpose Broker-Dealers statement and request for comment
- Disclosure of Order Execution Information proposal
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders proposal
- Order Competition Rule proposal
- Regulation Best Execution proposal

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from Robinhood included:

- Vlad Tenev, CEO
- Steve Quirk, Chief Brokerage Officer
- Dan Gallagher, Chief Legal Officer
- Lucas Moskowitz, Deputy General Counsel
- John Markle, Deputy General Counsel
- Ed Hosty, Senior Director of Execution Services
- Matt Billings, President of Robinhood Financial LLC and Robinhood Securities, LLC