

MEMORANDUM

TO: File No. S7-29-22
File No. S7-31-22
File No. S7-32-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Charles Schwab & Co.

On October 10, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of Charles Schwab & Co., including:

- Jeff Brown
- Peter Morgan
- Jason Clay
- John Roeser
- Peter Roberson

Among the topics discussed were the Commission's proposals for the Disclosure of Order Execution Information (S7-29-22), Order Competition Rule (S7-31-22), and Regulation Best Execution (S7-32-22).