

MEMORANDUM

TO: File No. S7-31-22
File No. S7-30-22
File No. S7-29-22
File No. S7-32-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Urvin Finance

On September 14, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of Urvin Finance, including:

- Dave Lauer (CEO, Urvin Finance), and
- Alex Cohen (COO, Urvin Finance).

Among the topics discussed were the Commission's proposals for the Order Competition Rule (S7-31-22), Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders (S7-30-22), Disclosure of Order Execution Information (S7-29-22), and Regulation Best Execution (S7-32-22).