

To: File No.: S7-29-22, S7-30-22, S7-31-22, S7-32-22, S7-18-23
From: Office of Public Engagement
Date: 10/19/23
Re: Meeting with Better Markets

On October 19, 2023, Chair Gensler and SEC staff met with Better Markets to discuss, among other matters, the following proposals:

- Disclosure of Order Execution Information – S7-29-22
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders – S7-30-22
- Order Competition Rule – S7-31-22
- Regulation Best Execution – S7-32-22
- Volume-Based Exchange Transaction Pricing for NMS Stocks – S7-18-23

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from Better Markets included:

- Dennis Kelleher, President & CEO
- Steve Hall, Legal Director & Securities Specialist
- Scott Farnin, Legal Counsel