

MEMORANDUM

TO: File Nos. S7-29-22, S7-30-22, and S7-31-22

FROM: William Magliocco, Law Clerk
Division of Trading and Markets

RE: Meeting with The Vanguard Group, Inc.

Date: October 23, 2023

On October 23, 2023, staff from the Division of Trading and Markets (“TM”) and the Division of Economic and Risk Analysis (“DERA”) met with The Vanguard Group, Inc. (“Vanguard”). The general topics discussed included the Commission’s proposed rules *Disclosure of Order Execution Information* (S7-29-22), *Regulation NMS* (S7-30-22), and *Order Competition Rule* (S7-31-22).

Attendees from TM included Dave Shillman, Dan Gray, Dan Mathisson, Yue Ding, Jennifer Colihan, Jennifer Dodd, Stacia Sowerby, and William Magliocco.

Attendees from DERA included Jessica Wachter, Oliver Richard, Paul Barton, Amy Edwards, Peter Dixon, John Ritter, Erika Frost, Benjamin Liebman, Julia Reynolds, Kevin Roshak, and Abdul Baten Munasaib.

Representatives for Vanguard included Gerry O’Reilly, Ken Hatt, George Gilbert, Rob Louka, James Martielli, and Brian Hamilton.