

MEMORANDUM

To: File Nos. S7-30-22; S7-29-22; S7-31-22; S7-32-22; S7-12-22; S7-07-23

From: Ammon Simon, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Futures Industry Association

On July 25, 2023, Ammon Simon and Sumeera Younis, Counsels to Commissioner Peirce, Edward Parsons, Law Clerk to Commissioner Peirce, and Commissioner Hester Peirce, met with the following individuals from the Futures Industry Association:

- Rob Creamer, CEO, Geneva Trading
- W. Graham Harper, Head of Public Policy and Market Structure, DRW Trading
- Paul Jiganti, Managing Director, IMC
- Jonah Platt, U.S. Head of Government and Regulatory Policy, Citadel Securities
- Liam Smith, Head of Corporate Strategy, Optiver US
- Adam Nunes, Head of Business Development, Hudson River Trading
- Edmund Perry, Policy Analyst, Delta Strategy Group
- Scott Parsons, Founding Partner, Delta Strategy Group

The topics discussed included the following Commission-proposed rules:

- Further Definition of "As a Part of a Regular Business" in the Definition of Dealer and Government Securities Dealer
- Order Competition Rule
- Regulation Best Execution
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders
- Disclosure of Order Execution Information
- Regulation Systems Compliance and Integrity