

MEMORANDUM

TO: File No. S7-30-22

FROM: Kelly Riley, Senior Special Counsel
Division of Trading and Markets

RE: Meeting with The Securities Industry and Financial Markets Association
("SIFMA")

DATE: July 20, 2023

On July 6, 2023, staff from the Division of Trading and Markets (TM) and the Division of Economic and Risk Analysis (DERA) met with SIFMA. The general topics discussed included the Commission's proposed rule *Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders* (S7-30-22).

Attendees from the Commission included Johnna Dumler, Steve Kuan, Marc McKayle, Sharon Park, Kelly Riley, and David Shillman, (Division of Trading and Markets), Peter Dixon, John Ritter, and Jessica Wachter, (Division of Economic and Risk Analysis).

Attendees from SIFMA included Ken Bentsen, Greg Berman, Jeff Brown, Mark Campbell, Joe Corcoran, Diwa Cody, Jessica D'Alton, Scott Galvin, Ellen Greene, Michael Masone, Tom Merritt, Sapna Patel, Jonah Platt, Andrew Smith, Rob Toomey, and George Wolf.