

To: File Nos.: S7-29-22, S7-30-22, S7-32-22
From: Office of Public Engagement
Date: 7/17/23
Re: Meeting with Americans for Financial Reform, Better Markets, and Council of Institutional Investors

On July 14, 2023, Chair Gensler and SEC staff met with Americans for Financial Reform (AFR), Better Markets, and Council of Institutional Investors (CII) to discuss the Disclosure of Order Execution Information; Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders; and Regulation Best Execution proposals.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Barbara Roper, Senior Advisor to the Chair
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel

Representatives from AFR, Better Markets and CII included:

- Andrew Park, Senior Policy Analyst, AFR
- Dennis Kelleher, President and Chief Executive Officer, Better Markets
- Stephen Hall, Legal Director and Securities Specialist, Better Markets
- Scott Farnin, Legal Counsel, Better Markets
- Jeff Mahoney, General Counsel, CII