

MEMORANDUM

TO: File Nos. S7-29-22, S7-30-22, S7-31-22, S7-32-22

FROM: Sharon Park, Counsel to the Director of Trading and Markets
Division of Trading and Markets

RE: Meeting with the Securities Industry and Financial Markets Association
("SIFMA")

DATE: April 20, 2023

On April 20, 2023, staff from the Division of Trading and Markets (TM) and the Division of Economic and Risk Analysis (DERA) met with SIFMA. The general topics discussed were the Commission's proposed rules on *Disclosure of Order Execution Information* (S7-29-22), *Regulation NMS* (S7-30-22), *Order Competition Rule* (S7-31-22), and *Regulation Best Execution* (S7-32-22),

Attendees from the Commission included Haoxiang Zhu, Andrea Orr, David Saltiel, Eric Juzenas, Dan Mathisson, Kelly Riley, David Dimitriou, Dan Gray, Kathleen Gross, John Fahey, Joanne Rutkowski, Geeta Dhingra, Sarah Schandler, Arisa Tinaves Kettig, Katherine Lesker, Christopher Chow, David Michehl, Jennifer Dodd, Stacia Sowerby, Johnna Dumler, Alex Jadin, and Lauren Yates (Division of Trading and Markets), and John Ritter (Division of Economic and Risk Analysis).

Attendees from SIFMA included Michael Costley (Ameriprise), George Farago (Barclays), Mark Morrison (BofA), Gregg Berman (Citadel), Michael Masone (Citigroup), Christopher Larkin (E*Trade), Mark Campbell, Aileen Foley (Fidelity), Annette Kelton, Diwa Cody, Jacki VanMoer (Goldman Sachs), George Farago, Debbie Toennies (JP Morgan), Sapna Patel (Morgan Stanley), Ovidio Montemayor, John Roeser (Schwab), Judy McDonald (SIG), JJ Kinahan (Tastyworks Inc.), Jessica D'Alton, George Wolf (UBS Securities), Thomas Merritt, Andrew Smith (Virtu), Ken Bentsen, Joseph Corcoran, Ellen Greene, Joseph Seidel, and Robert Toomey (SIFMA).