

MEMORANDUM

April 24, 2023

TO: File Nos. S7-32-22; S7-31-22; S7-30-22; S7-29-22; and, S7-10-22

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Citigroup, Inc. (“Citigroup”)

On April 24, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with Citigroup. Commissioner Uyeda and the attendees met in person. The Citigroup representatives in attendance consisted of:

- Brent J. McIntosh, General Counsel & Corporate Secretary
- Adam T. Kezsbom, Managing Director, Head of Capital Markets Policy

Among other matters, the participants discussed Citigroup’s comment letters filed in connection with Regulation Best Execution; Order Competition Rule; Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders; Disclosure of Order Execution Information; and, The Enhancement and Standardization of Climate-Related Disclosures for Investors.