

MEMORANDUM

TO: File nos. S7-32-22, S7-31-22, S7-30-22, and S7-29-22

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with Nasdaq

DATE: December 22, 2022

On December 22, 2022, Sai Rao, Policy Counsel, Office of the Chair, met with the following representatives from Nasdaq:

- John Zecca, Chief Legal, Risk & Regulatory Officer
- Jeff Davis, Senior Deputy General Counsel
- Tal Cohen, President of Market Platforms
- Phil Mackintosh, Chief Economist
- Kevin Kennedy, Executive Vice President of North American Markets
- Chuck Mack, Vice President, Head of US Equities
- Brett Kitt, Senior Associate General Counsel

Among other things, the participants discussed the Rule 605 amendments, Order Competition Rule, Best Ex, and Reg NMS minimum pricing increment proposals.