

MEMORANDUM

TO: File nos. S7-32-22, S7-31-22, S7-30-22, and S7-29-22

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with Rosenblatt

DATE: February 7, 2023

On February 7, 2023, Sai Rao and Heather Percival, Policy Counsels, Office of the Chair, met with Justin Schack, Managing Director/Partner at Rosenblatt Securities. Among other things, the participants discussed the Rule 605 amendments, Order Competition Rule, Best Ex, and Reg NMS minimum pricing increment proposals.