

MEMORANDUM

TO: File No. S7-27-18

FROM: Jacob Krawitz
Branch Chief, Division of Investment Management

RE: Meeting with Representatives of Investment Company Institute (“ICI”) and
Certain of Its Members

DATE: September 12, 2019

On September 12, 2019, Sarah ten Siethoff, Brian Johnson, Jacob Krawitz, Melissa Gainor, and Daniele Marchesani of the Securities and Exchange Commission (the “Commission”) met with the following representatives of the ICI and certain of its members in person:

- Jane Heinrichs (ICI)
- Susan Olson (ICI)
- Christopher Sechler (John Hancock Investments)
- Margaret Carey (Fidelity Investments)
- Ryan LeShaw (Pacific Investment Management Company)
- Michael Mundt (Stradley Ronon)

The participants discussed, among other things, the Commission’s Fund of Funds Arrangements proposal, set forth in Investment Company Act Release No. 33329 (December 19, 2018).