

**MEMORANDUM**

TO: File Nos. S7-27-10, S7-06-11

FROM: Michael E. Coe  
Office of Commissioner Luis A. Aguilar

DATE: January 23, 2012

SUBJECT: Meeting with Representatives of Bloomberg L.P.

On January 17, 2012, Michael E. Coe and Marc A. Leaf, Counsels to Commissioner Aguilar, met with the following individuals:

George Harrington, Global Head of Credit, Bloomberg  
Greg Dumark, Senior Compliance Officer, Bloomberg  
Joe Zangri, Global Head of Compliance, Bloomberg Tradebook LLC  
Greg Babyak, Vice President of Government Affairs, Bloomberg  
Irene Maysenyenko, Counsel, Legal Department, Bloomberg  
George Baker, Principal, Williams & Jensen  
David Fransiak, Principal, Williams & Jensen

The discussion included, among other things, the comment letters filed on behalf of Bloomberg on November 24, 2010; April 4, 2011; August 16, 2011; and December 9, 2011 regarding the Commission's proposed rules regarding Ownership Limitations and Governance Requirements for Security-Based Swap Clearing Agencies, Security-Based Swap Execution Facilities, and National Securities Exchanges with Respect to Security-Based Swaps under Regulation MC and the Commission's proposed rules regarding Registration and Regulation of Security-Based Swap Execution Facilities.

The Bloomberg representatives also provided a copy of the attached agenda for the meeting.

**SEC/Bloomberg L.P. Meeting**

**Agenda - Tuesday, January 17, 2012**

- I. SB SEF Governance Requirements
- II. SB SEF Financial Disclosures
- III. SB SEF Trading Protocols
- IV. SB SEF Third-Party Regulatory Services

**Bloomberg L.P. Attendees:**

George Harrington, Global Head of Fixed Income Trading

Joseph Zangri, Global Head of Compliance

Gregory Dumark, Senior Compliance Officer

Greg Babyak, Vice President of Government Affairs

Dave Franasiak, Williams & Jensen

George Baker, Williams & Jensen