

MEMORANDUM

TO: File Nos. S7-27-09, S7-02-10, S7-03-10

FROM: Arisa Tinaves
Division of Trading and Markets

DATE: March 12, 2010

RE: Staff Meeting with Security Traders Association

On March 11, 2010, representatives of the Security Traders Association (John Giese, President and CEO; Brett Mock, Chairman; Joseph Cangemi, Vice Chairman) and David Franasiak and Joel Oswald of Williams & Jensen, met with staff from the Division of Trading and Markets (Robert Cook, Director; James Brigagliano, Deputy Director; David Shillman, Associate Director; Daniel Gray, Senior Special Counsel; Stephen Williams, Senior Special Advisor; Ted Venuti, Special Counsel; Arisa Tinaves, Special Counsel; and Gary Rubin, Attorney). The discussion included, among other things, the Commission's proposed rules regarding non-public trading interests (dark pools) and risk management controls for broker-dealers with market access and the Commission's Concept Release on Equity Market Structure.