

## MEMORANDUM

TO: File Nos. S7-27-09, S7-02-10

FROM: Michael E. Coe  
Office of Commissioner Luis A. Aguilar

DATE: January 20, 2010

SUBJECT: Meeting with Certain Institutional Traders

On January 19, 2009, Commissioner Aguilar and Michael E. Coe, Counsel to the Commissioner, met with the following institutional “buy side” traders: Rob McGrath, Head of Trading, Americas, of Schroders Investment Management; Steve Wood, Global Head of Trading of Schroders Investment Management; Melissa Hinmon, Senior Equity Trader, Principal, of Turner Investment Partners; David Brooks, Managing Director, Global Equity Trading, of The Boston Company; Dan Royal, Co-Head of Global Equity Trading of Janus Capital Group; and Joe Roman, Vice President of Atlanta Capital. The discussion included, among other things, the Commission’s proposed rules regarding Regulation of Non-Public Trading Interest and the Commission’s Concept Release on Equity Market Structure.