

MEMORANDUM

January 14, 2010

To: File No. S7-27-09

From: Scott H. Kimpel
Office of Commissioner Troy A. Paredes

Re: Proposed Rule: Regulation of Non-Public Trading Interest

On January 14, 2010, Commissioner Troy A. Paredes and Scott H. Kimpel, Counsel to the Commissioner, met with the following representatives of Charles River Brokerage, LLC: Stephen Schardin, Managing Director-President; Frank Matarese, Director of Business Operations; and Jay Doherty, Vice President, Sales and Marketing. The participants discussed the Commission's proposed rules on regulation of non-public trading interest.