

MEMORANDUM

To: File Nos. S7-12-23, S7-26-22
From: Sumeera Younis, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of Ropes and Gray

On 18 March, 2024 Commissioner Hester M. Peirce, along with Sumeera Younis, Counsel to Commissioner Peirce, met with the following representatives from Ropes & Gray:

- James McGinnis, Counsel
- Chelsea Childs, Partner
- Mike Doherty, Partner
- James McGinnis, Partner
- Paulita Pike, Partner
- George Raine, Partner
- Elizabeth Reza, Partner
- Amy Roy, Partner
- James Thomas, Partner

Among the topics discussed were the Commission's rulemaking proposals on:

- *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker Dealers and Investment Advisers*
- *Open-End Fund Liquidity Risk Management Programs and Swing Pricing*