

MEMORANDUM

TO: File No. S7-26-22
FROM: Nathan R. Schuur, Counsel to Commissioner Caroline Crenshaw
RE: Meeting with ICI
DATE: January 30, 2024

On January 30, 2024, Commissioner Crenshaw and her counsels Janna Berke and Nathan Schuur met with representatives of the Investment Company Institute (“ICI”) and its members.

Participants included:

- Rochelle Antoniewicz (ICI),
- Andrea Ardito (Fidelity),
- Donald Caiazza (Fidelity),
- Dorothy Donohue (ICI),
- Paul Massaro (T. Rowe Price),
- Eric Mollenhauer (Fidelity),
- Sean Ryan (Invesco),
- Jonathan Siegel (T. Rowe Price), and
- Matthew Thornton (ICI).

The participants discussed, among other things, the Commission’s proposal titled Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting (Nov. 2, 2022) [87 FR 77172 (Dec. 16, 2022)].