

## MEMORANDUM

**To:** Comment File – Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting  
*File No: S7-26-22*

**From:** Janna I. Berke, Counsel to Commissioner Caroline Crenshaw  
U.S. Securities and Exchange Commission

**Date:** November 8, 2023

**Re:** Meeting with Representatives of the LSTA

On November 8, 2023, Commissioner Crenshaw and her counsel Janna Berke met virtually with the following, on behalf of the LSTA:

- Elliot Ganz, Head of Advocacy;
- Tess Virmani, Deputy General Counsel;
- Meredith Coffey, Executive Vice President of Research;
- Lee Shaiman, Executive Director;
- Andrew Berlin, Vice President and Director of Policy Research; and
- Karolina Arias, Federal Hall Policy Advisors.

The Open-End Fund Liquidity Risk Management Programs proposal was among the topics discussed.