

## MEMORANDUM

TO: File No. S7-26-22

FROM: Alexis Hassell, Division of Investment Management

RE: Meeting with representatives of T. Rowe Price

DATE: October 30, 2023

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On October 30, 2023, staff from the SEC's Division of Investment Management ("IM") and Division of Economic and Risk Analysis ("DERA") met with representatives of T. Rowe Price.

Participants from IM included: Sarah ten Siethoff, Brian Johnson, Angela Mokodean, Mykaila DeLesDernier, Alexis Hassell, Rachael Hoffman, Rachel Kuo, Gregory Scopino, Michelle Beck, Holly Miller, Christopher Rice, Michael Republicanano, and John Kernan.

Participants from DERA included: Jessica Wachter, Dasha Safonova, James McLoughlin, and Joseph Otchin.

Participants from T. Rowe Price included:

- Chris Edge – Head of Investment Risk
- Stephon Jackson – Head of T. Rowe Price Investment Management
- Sonia Kurian – Managing Legal Counsel (US Collective Vehicles)
- Paul Massaro – Head of High Yield for T. Rowe Price Associates
- Josh Nelson – Head of US Equity for T. Rowe Price Associates
- Reshmi Paul – Director, Investment Risk
- Jonathan Siegel – Managing Legal Counsel (Legislative & Regulatory Affairs)

The participants discussed the Commission's proposal titled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746].