

To: File No.: S7-06-22; S7-26-22; S7-32-10
From: Office of the Chair
Date: 9/19/23
Re: Meeting with BlackRock

On September 19, 2023, SEC staff met with BlackRock to discuss, among other matters, the following proposals:

- Modernization of Beneficial Ownership Reporting (13DG) - S7-06-22
- Open-End Fund Liquidity Risk Management Programs and Swing Pricing - S7-26-22
- Position Reporting of Large Security-Based Swap Positions (10B-1) - S7-32-10

Members of the SEC included:

- Amanda Fischer, Chief of Staff
- Corey Klemmer, Corporation Finance Counsel
- Corey Frayer, Senior Advisor
- Sai Rao, Trading and Markets Counsel
- Samantha Ostrom, Policy Counsel
- Lily Bailey, Special Assistant

Representatives from BlackRock included:

- Samantha DeZur, Managing Director, Head of US Public Policy
- Danny Riemer, Director, Legal & Compliance
- Ben Tecmire, Director, Global Public Policy
- Michael Winnike, Director, Market Structure