

## MEMORANDUM

*July 19, 2023*

**TO:** File Number S7-26-22

**FROM:** Jacob D. Krawitz  
Policy Counsel, Office of the Chair

**RE:** Meeting with Independent Directors Counsel

On July 19, 2023, the staff members from the Office of the Chair listed below met with the following representatives of the Independent Directors Council (IDC):

**SEC Participants:**

Heather Slavkin Corzo, Policy Director  
Jacob Krawitz, Policy Counsel

**IDC Participants:**

Cynthia Plouché, Independent Director, Northern Trust Funds and MassMutual Funds  
Bill Ebsworth, Independent Director, Allspring Funds  
Don Burke, Independent Director, Duff & Phelps Funds and Virtus Funds  
Patricia Louie, Independent Director, Oakmark Funds  
Kathryn Quirk, Independent Director, Harbor Funds  
Thomas Kim, Managing Director, IDC  
Lisa Hamman, Associate Managing Director, IDC  
Nicole Baker, Associate Counsel, IDC

The participants discussed the Commission's proposal relating to Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting.