

MEMORANDUM

TO: File Nos. S7-17-22; S7-26-22; S7-04-22; S7-16-22; S7-03-22

FROM: Elena S. Stojic, Senior Special Counsel, Division of Investment Management

RE: Meeting with Capital Group

DATE: July 10, 2023

On March 20, 2023, staff of the SEC's Division of Investment Management ("IM") and held an in-person meeting with representatives from Capital Group. Participants included: William Birdthistle (Director, IM), Elena Stojic (Senior Special Counsel, IM), Frank Buda (Senior Special Counsel, IM)

as well as the following representatives from Capital Group:

- Herb Poon, Associate Counsel
- Naseem Nixon, Vice President and Associate Counsel
- Tim McHale, Legal & Compliance
- Clara Kang, Legal & Compliance

The participants discussed, among other things, the SEC's proposed rules:

"Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices," (Release No. 34594); "Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting" (Release Nos. 33-11130; IC-34746); "Cybersecurity Risk Management for Investment Advisers, Registered Investment Companies, and Business Development Companies" (Release Nos. 33-11028; 34-94197; IA-5956; IC-34497); "Investment Company Names" (Release No. IC-34593); "Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews" (Release Nos. IA-5955).