

MEMORANDUM

TO: File No. S7-26-22
FROM: Quinn Kane, Senior Counsel, Division of Investment Management
RE: Meeting with representatives of Intercontinental Exchange, Inc.
DATE: June 13, 2023

On June 13, 2023, staff from the U.S. Securities and Exchange Commission (the “SEC”) met with representatives of Intercontinental Exchange, Inc. (“ICE”).

Participants included SEC staff from the Division of Investment Management: Sarah ten Siethoff (Deputy Director), Brian Johnson (Assistant Director), Michelle Beck (Senior Financial Analyst), Holly Miller (Senior Financial Analyst), Angela Mokodean (Branch Chief), Y. Rachel Kuo (Senior Counsel), Quinn Kane (Senior Counsel), and Thoreau Bartmann (Co-Chief Counsel); and the Division of Economic and Risk Analysis: James McLoughlin (Financial Economist), and Dasha Safonova (Financial Economist).

Representatives of ICE included the following:

- David Scalzetti
- Matthew Cook
- Hope Jarkowski
- Elizabeth King

The participants discussed the Commission’s proposal titled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746].